

# There is one order-complete ordered-field

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**Rings.** In a ring  $(\Gamma, +, \mathbf{0}_\Gamma, \cdot, \mathbf{1}_\Gamma)$ , if there is a posint  $n$  so that  $\mathbf{1}_\Gamma + \mathbf{1}_\Gamma + \dots + \mathbf{1}_\Gamma$  equals  $\mathbf{0}_\Gamma$ , then the *smallest* such  $n$  is called the **characteristic** of the ring, and I write  $\text{Char}(\Gamma) = n$ . If no such posint exists, then I will write  $\text{Char}(\Gamma) = \infty$ ; however, the *standard term* is  $\text{Char}(\Gamma) = 0$ , and you will see this in algebra texts and in some of my notes.

A ring is **commutative** (abbrev., **comm-ring**) if its multiplication is commutative. In a comm-ring  $\Gamma$ , a **zero-divisor**  $\alpha \in \Gamma$  admits a non-zero elt  $\beta \in \Gamma$  (this  $\beta$  need not be unique) so that  $\alpha\beta = \mathbf{0}_\Gamma$ . Use **ZD** for **zero-divisor**. [Letting  $\equiv$  denote  $\equiv_{12}$ , in the  $\mathbb{Z}_{12}$  ring, 9 is a ZD, since  $9 \cdot 8 \equiv 0$ , yet  $8 \not\equiv 0$ . OTOHand, even though  $5 \cdot 24 \equiv 0$ , this doesn't show that 5 is a  $\mathbb{Z}_{12}$ -ZD, since  $24 \equiv 0$ .]

An **integral domain**  $\Gamma$  is a **commutative** ring with no ZDs except for  $\mathbf{0}_\Gamma$ , the **trivial ZD**. If the characteristic of an **integral domain** is finite, then  $\text{Char}(\Gamma)$  is a prime number. In particular, this holds if  $\Gamma$  is a field.

**1: Fact.** If  $\Gamma$  is a field of finite **order** (finite cardinality) then  $|\Gamma| = p^k$  for some prime  $p$  and posint  $k$ . Conversely, for each such prime  $p$  and  $k \in \mathbb{Z}_+$ , there exists a field of order  $p^k$ , and this field is unique up to field-isomorphism.  $\diamond$

**Partial proof.** For the prime  $p := \text{Char}(\Gamma)$ , there is a copy of  $\mathbb{Z}_p$  inside  $\Gamma$ , making  $\Gamma$  a  $\mathbb{Z}_p$ -vectorspace. Letting  $k$  be the dimension of this vectorspace, then, we obtain  $|\Gamma| = p^k$ .

The remaining FACTs take a fair amount of work to prove.  $\diamond$

**Totally-Ordered Sets.** A **TOS**  $(\Gamma, <)$  has an antireflexive, transitive relation  $<$  so that for each  $\alpha \neq \beta$  in  $\Gamma$ , either  $\alpha < \beta$  or  $\alpha > \beta$ .

A subset  $S \subset \Gamma$  is “**order-dense** in  $\Gamma$ ” if:

For each pair  $\alpha < \beta$  of elements in  $\Gamma$ , there exists  $\tau \in S$  with  $\alpha < \tau < \beta$ .

If  $S$  is order-dense as a subset of *itself*, then say that “ $S$  is **order self-dense**”. [E.g, TOS  $(\mathbb{Q}, <)$  is order self-dense, but  $(\mathbb{Z}, <)$  is not.]

**Least upper-bound property [LUBP].** In TOS  $(\Gamma, <)$ , consider sets  $A, B \subset \Gamma$  and a point  $\gamma \in \Gamma$ . Let

2.1:

$A \preceq \gamma$  mean  $[\forall \alpha \in A, \text{ necessarily } \alpha \preceq \gamma]$ ;  
 $A \preceq B$  mean  $[\forall \alpha \in A \text{ and } \forall \beta \in B: \alpha \preceq \beta]$ .

An **upper-bound** for a set  $A \subset \Gamma$  is an element  $\gamma \in \Gamma$  such that  $A \preceq \gamma$ . Use  $\text{UB}_\Gamma(A)$  for the *set* of upper-bnds, and  $\text{LB}_\Gamma(A)$  for the lower-bnd-set. (Dispense with the subscript if clear from context.) Our  $(\Gamma, <)$  has the **LUBP** if:

Each non-void  $A \subset \Gamma$  which is upper-bnded

2.2: [i.e  $\text{UB}_\Gamma(A) \neq \emptyset$ ] has a least upper-bound.  
That is,  $\text{UB}_\Gamma(A)$  has a minimum element.

Reversing the inequalities yields the **greatest lower-bound property**, abbreviated **GLBP**.

The LUB of a set  $A$  (when it has a LUB!) is called the **supremum** of the set, and is written  $\sup(A)$  or  $\text{sup}_\Gamma(A)$ . Similarly, the **infimum** is the GLB, written  $\inf(A)$ .

**2.3: LUBP theorem.** TOS  $(\Gamma, <)$  has the LUBP **IFF** it has the GLBP.  $\diamond$

**Proof of [LUBP  $\Rightarrow$  GLBP].** Fix a non-void lower-bnded subset  $B \subset \Gamma$ ; so  $A := \text{LB}_\Gamma(B)$  is non-empty. My goal is to produce a (hence the) greatest lower-bound for  $B$ , using that

‡:  $A \stackrel{\text{def}}{=} \text{LB}_\Gamma(B)$ , and  
‡:  $\text{UB}_\Gamma(A) \supset B$ .

Since  $\text{UB}_\Gamma(A) \supset B \neq \emptyset$ , and  $A$  is non-void, the LUBP applies, and tells us that  $\lambda := \sup_\Gamma(A)$  exists. In particular

$$\dagger': \quad \lambda \succcurlyeq A.$$

Since  $\lambda$  is the *least* upper-bnd,  $\lambda \preccurlyeq \text{UB}_\Gamma(A) \supset B$  and so  $\lambda \preccurlyeq B$ . Restating,  $\lambda$  is a lower-bound of  $B$ . (Note:  $\lambda$  *might* or *might not* be in  $B$ .)

And, by  $(\dagger)$  and  $(\dagger')$ , this  $\lambda$  dominates each lower-bound of  $B$ . So  $\lambda$  is a *greatest* lower-bound of  $B$ .  $\blacklozenge$

**Making a Real Assumption.** A TOS  $(\Gamma, <)$  satisfying LUBP [equivalently, GLBP] is said to be **order-complete**. We take as an axiom [or derive via *Dedekind cuts* or *Cauchy sequences*] that

2.4:  $(\mathbb{R}, <)$  is order-complete.

This means that the extended reals,  $\overline{\mathbb{R}}$ , satisfies a slightly stronger property: Each<sup>♥1</sup> subset  $A \subset \overline{\mathbb{R}}$  has a  $\sup(A)$  and an  $\inf(A)$  in  $\overline{\mathbb{R}}$ . In consequence,  $\sup()$  and  $\inf()$  are maps from the full  $\mathcal{P}(\overline{\mathbb{R}}) \rightarrow \overline{\mathbb{R}}$ .

## Ordered-fields

An **ordered-field**  $(\Gamma, +, \mathbf{0}, \cdot, \mathbf{1}; <)$  is a field which is an ordered set satisfying  $\forall \alpha, \beta, \tau \in \Gamma$ :

- i: If  $\alpha < \beta$  then  $\alpha + \tau < \beta + \tau$ . That is, relation “ $<$ ” is **translation invariant**.
- ii: If  $\alpha, \beta > \mathbf{0}$  then their product  $\alpha \cdot \beta > \mathbf{0}$ . I.e: “*Product is Positivity-Preserving*”.

**3: Ordered-field lemma.** In an ordered-field  $\Gamma$ :

a: If  $\alpha \neq \mathbf{0}$ , then  $[\alpha > \mathbf{0}] \Leftrightarrow [-\alpha < \mathbf{0}]$ .

b: Fix  $\alpha > \beta$ . If  $\mu > \mathbf{0}$  then  $\mu\alpha > \mu\beta$ . If  $\mu < \mathbf{0}$  then  $\mu\alpha < \mu\beta$ . Also, if  $\mu \geq \mathbf{0}$  then  $[\alpha \geq \beta] \Rightarrow [\mu\alpha \geq \mu\beta]$ . If  $\mu \leq \mathbf{0}$  then  $[\alpha \geq \beta] \Rightarrow [\mu\alpha \leq \mu\beta]$ .

Now suppose  $\alpha_j > \beta_j > \mathbf{0}$ , for  $j \in \{1, 2\}$ . Then  $\alpha_1\alpha_2 > \beta_1\beta_2 > \mathbf{0}$ .

c: For each  $\alpha \neq \mathbf{0}$ , necessarily  $\alpha^2 > \mathbf{0}$ . Hence  $\mathbf{1} > \mathbf{0}$ .

<sup>♥1</sup>E.g,  $\sup(\emptyset) = -\infty$  and  $\inf(\emptyset) = +\infty$ . Indeed, for  $A \subset \overline{\mathbb{R}}$ :  $[A \neq \emptyset] \iff [\inf(A) \preccurlyeq \sup(A)]$ .

d:  $\text{Char}(\Gamma) = \infty$ .

e: If  $\mathbf{0} < \alpha < \beta$ , then  $\mathbf{0} < 1/\beta < 1/\alpha$ .  $\blacklozenge$

**Note to self:** All but (e) holds for an *ordered integral-domain*. Adapt this material for that generalization, then do the *field-of-quotients* construction. ]

**Proof of (a).** By translation invariance, if  $\alpha > \mathbf{0}$  then  $\alpha - \alpha > \mathbf{0} - \alpha$ ; etc.

**Pf of (b).** Saying  $\alpha > \beta$  means  $\alpha - \beta > \mathbf{0}$ , hence (ii) implies  $\mu[\alpha - \beta] > \mathbf{0}$ , etc. Or if  $\mu$  is negative, then  $[-\mu][\alpha - \beta] > \mathbf{0}$ ; so now (a) and associativity of mult (and that  $-[-\mu] = \mu$ ) together imply that  $\mu[\alpha - \beta] < \mathbf{0}$ .

The two versions with *non-strict* inequality, “ $\geq$ ”, follow from the strict inequalities.

Lastly, suppose  $\alpha_j > \beta_j > \mathbf{0}$ . Multiplying the 2<sup>nd</sup> by  $\beta_1$  gives  $\beta_1\alpha_2 > \beta_1\beta_2$ . Multiplying the 1<sup>st</sup> by  $\alpha_2$  gives  $\alpha_1\alpha_2 > \beta_1\alpha_2$ . Transitivity yields  $\alpha_1\alpha_2 > \beta_1\beta_2$ .  $\blacklozenge$

**Pf of (c).** If  $\mathbf{1}$  negative, then (b) implies that  $\mathbf{1} \cdot \mathbf{1}$  is positive; a contraction. And  $\mathbf{1} \neq \mathbf{0}$ , by the axioms for a field. Thus *trichotomy* forces that  $\mathbf{1}$  is positive.  $\blacklozenge$

**Pf of (d).** [I temporarily rename  $\mathbf{0}$  to  $\mathbf{0}_\Gamma$  and  $\mathbf{1}$  to  $\mathbf{1}_\Gamma$ .] By (b), we now know that  $\mathbf{0}_\Gamma < \mathbf{1}_\Gamma$ . Since “ $<$ ” is translation-invariant, induction implies that for each posint  $n$ , the sum  $\mathbf{1}_\Gamma + \mathbf{1}_\Gamma + \dots + \mathbf{1}_\Gamma$  is positive.  $\blacklozenge$

**Pf of (e).** For  $\gamma > \mathbf{0}$ , its mult-inverse  $1/\gamma$  is not  $\mathbf{0}$  (since it has a mult-inv). Were  $1/\gamma < \mathbf{0}$ , then (b) implies  $\gamma \cdot \frac{1}{\gamma} \stackrel{\text{note}}{=} \mathbf{1}$  is negative, contradicting (c). Hence

$$\gamma > \mathbf{0} \text{ implies } 1/\gamma > \mathbf{0}.$$

By (ii), product  $\alpha\beta$  is positive, so  $\frac{1}{\alpha\beta} > \mathbf{0}$ . Multiplying the given  $\mathbf{0} < \alpha < \beta$  by  $\frac{1}{\alpha\beta}$  yields, courtesy (b), that  $\mathbf{0} < 1/\beta < 1/\alpha$ .  $\blacklozenge$

**4: Defn.** For  $n \in \mathbb{N}$  and  $\beta \in \Gamma$ , denote  $\beta + \cdot^n \cdot + \beta$  by  $n\beta$ . For  $n \in \mathbb{Z}_-$ , use  $n\beta$  for  $[-\beta] + \cdot^n \cdot + [-\beta]$ . Use  $\mathbb{Z}_\Gamma$  for  $\{n \cdot \mathbf{1}_\Gamma \mid n \in \mathbb{Z}\}$ , etc.  $\square$

**5: Exer. E1.** Fix a field  $(\Gamma, +, \mathbf{0}, \cdot, \mathbf{1})$ . For  $n \in \mathbb{Z}$  let  $\widehat{n}$  denote  $n \cdot \mathbf{1}$  in  $\Gamma$ , as defined in (4).

i: For integers  $n_j$  and  $d_j \in \mathbb{Z}$  with each  $\widehat{d_j} \neq \mathbf{0}$ , prove: If  $\frac{n_1}{d_1} = \frac{n_2}{d_2}$  then  $\widehat{n_1}/\widehat{d_1} = \widehat{n_2}/\widehat{d_2}$ .

ii: Suppose  $p := \text{Char}(\Gamma)$  is finite, hence prime. Prove  $n \mapsto \widehat{n}$  is a *ring*-homomorphism of  $\mathbb{Z}$  into  $\Gamma$ . Show that  $n \mapsto \widehat{n}$  can be interpreted as an *injective field*-homomorphism of  $\mathbb{Z}_p \hookrightarrow \Gamma$ .

[For two fields  $\mathbf{F}$  and  $\mathbf{G}$ , is it *possible* to have a non-injective field-hom  $\mathbf{F} \rightarrow \mathbf{G}$  ?]

iii: Now suppose  $\text{Char}(\Gamma) = \infty$ . Argue that for each  $q \in \mathbb{Q}$ , value  $\widehat{q} \in \Gamma$  is *well-defined* by choosing integers  $d \neq 0$  and  $n$  st.  $q = \frac{n}{d}$ , and then defining  $\widehat{q} := \widehat{n}/\widehat{d}$ .

iv: Suppose  $\text{Char}(\Gamma) = \infty$ , Prove that  $q \xrightarrow{f} \widehat{q}$  is an injective field-homomorphism of  $\mathbb{Q} \hookrightarrow \Gamma$ . Further, if  $(\Gamma, <)$  is an *ordered* field, then  $f$  is *order-preserving* as a map  $(\mathbb{Q}, <) \hookrightarrow (\Gamma, <)$ .  $\square$

### Archimedean fields

An ordered-field  $\Gamma$  is **Archimedean** if for each  $\tau \in \Gamma$  there exists a natnum  $n$  with  $n\mathbf{1}_\Gamma \geq \tau$ . By setting  $k := n+1$ , we see this is equivalent to:  $\exists k \in \mathbb{N}$  with  $k\mathbf{1}_\Gamma > \tau$ . Equivalently,  $\text{UB}_\Gamma(\mathbb{Z}_\Gamma)$  is empty.

**6.1: Archy lemma.** If ordered-field  $(\Gamma, +, \mathbf{0}_\Gamma, \cdot, \mathbf{1}_\Gamma; <)$  is Archimedean, then for each  $\beta > \mathbf{0}_\Gamma$ : The upper-bound set of  $M_\beta := \{n\beta \mid n \in \mathbb{N}\}$  is empty.

Moreover, if there exists a  $\beta \in \Gamma$  with  $\text{UB}_\Gamma(M_\beta)$  empty, then  $\Gamma$  is Archimedean.  $\diamond$

**Pf.** Fix a posint  $K$  with  $K\mathbf{1}_\Gamma \geq \mathbf{1}_\Gamma/\beta$  <sup>note</sup>  $> \mathbf{0}$ . Multiply by  $\beta$  to conclude that  $K\beta \geq \mathbf{1}_\Gamma$ , by (3). So for each natnum  $n$ , element  $[nK] \cdot \beta$  dominates  $n\mathbf{1}_\Gamma$ . Thus  $\text{UB}_\Gamma(M_\beta) \subset \text{UB}_\Gamma(\mathbb{Z}_\Gamma)$ . And  $\text{UB}_\Gamma(\mathbb{Z}_\Gamma) = \emptyset$ .

**Exercise E2:** The converse is left the Reader.  $\diamond$

**6.2: Corollary.** Suppose ordered-field  $(\Gamma, +, \mathbf{0}_\Gamma, \cdot, \mathbf{1}_\Gamma; <)$  is Archimedean. Then  $\text{UB}_\Gamma(\mathbb{Z}_\Gamma) = \emptyset = \text{LB}_\Gamma(\mathbb{Z}_\Gamma)$ . Moreover, for each  $\alpha \in \Gamma$ , there exists a unique integer  $K$  with  $[K-1]\mathbf{1}_\Gamma \leq \alpha < K\mathbf{1}_\Gamma$ .  $\diamond$

**Proof.** The order-reversing map  $x \mapsto -x$  on  $\Gamma$  sends lower-bnd-sets to upper-bnd-sets, etc., hence  $\text{LB}(\mathbb{Z}_\Gamma)$  is empty.

Setting  $U_k := \{x \in \Gamma \mid x \geq k\mathbf{1}_\Gamma\}$ , the foregoing tell us that  $\bigcup_{k \in \mathbb{Z}} U_k$  is  $\Gamma$ , and  $\bigcap_{k \in \mathbb{Z}} U_k$  is empty. These sets are *nested*,  $\dots, U_{-1} \supset U_0 \supset U_1 \supset U_2 \supset \dots$  so there is a unique integer  $K \in \mathbb{Z}$  with the given  $\alpha$  in the difference-set  $U_{K-1} \setminus U_K$ .  $\diamond$

**7: OC  $\Rightarrow$  Archimedean theorem.** Suppose ordered-field  $(\Gamma, +, \mathbf{0}_\Gamma, \cdot, \mathbf{1}_\Gamma; <)$  is order-complete. Then  $\Gamma$  is Archimedean.  $\diamond$

**Proof.** FTSOContradiction, suppose  $\text{UB}(\mathbb{Z}_\Gamma)$  is not empty; so  $\mathbb{Z}_\Gamma$  has a  $\Gamma$ -LUB; call it  $\tau$ . Now  $\tau - \mathbf{1}_\Gamma$  is less than  $\tau$ , hence *cannot* upper-bnd  $\mathbb{Z}_\Gamma$ . Consequently,  $\exists n \in \mathbb{Z}_+$  with  $[n-1]\mathbf{1}_\Gamma > \tau - \mathbf{1}_\Gamma$ . Thus  $n\mathbf{1}_\Gamma > \tau$ , which is a blatant contradiction.  $\diamond$

**8.0: Order-dense lemma.** Fix  $(\Gamma, +, \widehat{0}, \cdot, \widehat{1}; <)$ , an Archimedean field. For  $q \in \mathbb{Q}$ , define  $\widehat{q} \in \Gamma$  as in (5), and let  $\widehat{\mathbb{Q}}$  denote the copy of  $\mathbb{Q}$  inside  $\Gamma$ . Then  $\widehat{\mathbb{Q}}$  is order-dense in  $\Gamma$ .  $\diamond$

**Proof.** Fix  $\alpha < \beta$  in  $\Gamma$ . We will produce integers  $N \in \mathbb{Z}_+$  and  $K$  such that

$$*: \quad \alpha < \widehat{K/N} < \beta.$$

By (6.1) there is posint  $N$  with  $N[\beta - \alpha] > \widehat{2}$ . Dropping the “ $\widehat{\phantom{x}}$ ” symbol for the rest of the proof, we have  $\frac{\beta - \alpha}{2} > \frac{1}{N}$ . Hence  $\alpha + \frac{1}{N} < \alpha + \frac{\beta - \alpha}{2}$ , so

$$**: \quad \alpha + \frac{1}{N} < \beta.$$

Courtesy (6.2),  $\exists K \in \mathbb{Z}$  with  $[K-1] \leq N\alpha < K$ , i.e.,  $N\alpha < K \leq [N\alpha] + 1$ . Hence  $\alpha < \frac{K}{N} \leq \alpha + \frac{1}{N}$ , since  $N$  is positive. This and (\*\*), yield (\*).  $\diamond$

### Complete ordered-field(s)

We now come to the main result.

**9: Theorem.** Suppose  $(\Gamma, +, \hat{0}, \cdot, \hat{1}; <)$  and  $(\mathbf{F}, +, \bar{0}, \cdot, \bar{1}; <)$  are ordered-fields. Then they are ordered-field-isomorphic. Moreover, there is a unique OF-isomorphism between them.  $\diamond$

**Proof (sketch).** For  $S \subset \mathbb{Q}$ , let  $\hat{S} := \{\hat{q} \mid q \in S\} \stackrel{\text{note}}{\subset} \Gamma$ . Define similarly  $\bar{S} \subset \mathbf{F}$ . For  $\alpha \in \Gamma$  and  $x \in \mathbf{F}$ , define

$$U_\alpha := \{q \in \mathbb{Q} \mid \hat{q} \leq \alpha\} \quad \text{and} \quad V_x := \{q \in \mathbb{Q} \mid \bar{q} \leq x\}.$$

There exist  $q, r \in \mathbb{Q}$  with  $\alpha - \hat{1} < q < \alpha < r < \alpha + \hat{1}$ ; this, by (8.0), density. Hence  $U_\alpha$  is non-void and upper-bnded in  $\mathbb{Q}$ , so  $\bar{U}_\alpha$  is non-void and upper-bnded in  $\mathbf{F}$ . I.e,  $\sup_{\mathbf{F}}(\bar{U}_\alpha)$  exists in  $\mathbf{F}$ . Consequently, we have a well-defined map  $\Phi: \Gamma \rightarrow \mathbf{F}$ , by

$$\Phi(\alpha) := \sup_{\mathbf{F}}(\bar{U}_\alpha).$$

Evidently  $\Phi$  is *weakly* order-preserving in that for all  $\alpha, \beta \in \Gamma$ :  $[\alpha \leq \beta] \Rightarrow [\Phi(\alpha) \leq \Phi(\beta)]$ .

Similarly,  $\mathcal{G}(x) := \sup_{\Gamma}(\widehat{V}_x)$  is a weakly-OP map  $\mathcal{G}: \mathbf{F} \rightarrow \Gamma$ .  $\diamond$

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