

## Affine maps of the plane

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**Entrance.** A matrix  $\mathbf{M} := \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  acts on a point  $\begin{bmatrix} x \\ y \end{bmatrix}$ , sending it to  $\mathbf{M}\begin{bmatrix} x \\ y \end{bmatrix}$ . Let  $\hat{\mathbf{i}} := \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\hat{\mathbf{j}} := \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ . Let

$$\mathbf{R}_\theta := \begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}$$

be the std rotation matrix.

Recall that  $\mathbf{SL}_2$ , the *special linear group*, is the group (sealed under matrix-mult and matrix-inverse) of  $2 \times 2$  matrices  $\mathbf{M}$  with  $\text{Det}(\mathbf{M}) = 1$ . Each  $\mathbf{M} \in \mathbf{SL}_2$  is *OPAP: Orientation Preserving*, since  $\text{Det}(\mathbf{M}) > 0$ ; and *Area Preserving*, since  $|\text{Det}(\mathbf{M})| = 1$ .

**Shears.** An  $m \in \mathbb{R}$  yields horizontal/vertical shears:

$$\mathbf{H}_m := \begin{bmatrix} 1 & m \\ 0 & 1 \end{bmatrix}, \quad \mathbf{V}_m := \begin{bmatrix} 1 & 0 \\ m & 1 \end{bmatrix}.$$

Abbreviate “horizontal(ly) shear” by *hshear*, and “vertical(ly) shear” by *vshear*. Call a *horizontal-or-vertical shear* a *perp-shear*. Finally, let  $\Sigma$  denote the group generated by perp-shears.

**1: Perp-shear Lemma.** *Rotation  $\mathbf{R}_\pi = \mathbf{R}_{180^\circ}$  is a product of perp-shears:  $\mathbf{H}_{-2}\mathbf{V}_1\mathbf{H}_{-2}\mathbf{V}_1$ .*

*a: For each angle  $\theta$ , rotation  $\mathbf{R}_\theta$  is a product of at most 5 perp-shears.*

*b: The group generated by the perp-shears is precisely  $\mathbf{SL}_2$ .* ♦

**Pf of (a).** WLOG  $\theta \in (0, \pi)$ . Let  $\mathbf{q} = \begin{bmatrix} x \\ y \end{bmatrix}$  be the *unit-vector* at angle  $\theta$ . Vertically shear  $\hat{\mathbf{i}}$  up to height  $y$ , then over to be  $\mathbf{q}$ , i.e take  $\alpha \in \mathbb{R}$  st.  $[\mathbf{H}_\alpha \mathbf{V}_y] \hat{\mathbf{i}} = \mathbf{q}$ . This action moves  $\hat{\mathbf{j}}$  to some vector  $\mathbf{w} := [\mathbf{H}_\alpha \mathbf{V}_y] \hat{\mathbf{j}}$ .

Let  $\mathbb{L}$  be the line parallel to vector  $\mathbf{q}$ , and passing through point  $\mathbf{R}_{\pi/2}(\mathbf{q})$ . Since shears are OPAP, *this  $\mathbf{w}$  must lie on  $\mathbb{L}$ .*

Take the  $z \in \mathbb{R}$  which vshears  $\mathbf{q}$  onto the horiz-axis, i.e  $\mathbf{V}_z \mathbf{q} = \begin{bmatrix} x \\ 0 \end{bmatrix}$ . For each  $\beta \in \mathbb{R}$ , then, the *hshear*  $\mathbf{H}_\beta$  *fixes  $\mathbf{V}_z \mathbf{q}$* . Since  $\mathbf{V}_z \mathbf{q}$  is on the horiz-axis, point  $\mathbf{V}_z \mathbf{w}$  cannot be [they are two edges of an area=1 parallelogram]. Hence  $\{[\mathbf{H}_\beta \mathbf{V}_z] \mathbf{w} \mid \beta \in \mathbb{R}\}$  is an entire line

(horizontal, since vector  $\mathbf{V}_z \mathbf{q}$  is horizontal). Letting  $\mathbf{T}_\beta := \mathbf{V}_{-z} \mathbf{H}_\beta \mathbf{V}_z$ , then,  
 $\{[\mathbf{T}_\beta] \mathbf{w} \mid \beta \in \mathbb{R}\}$  is all of  $\mathbb{L}$ .

Thus there is a particular  $\beta$ -value,  $b$ , st.  $[\mathbf{T}_b] \mathbf{w}$  is orthogonal to  $[\mathbf{T}_b] \mathbf{q} \stackrel{\text{note}}{=} \mathbf{q}$ . Since  $\mathbf{q}$  has length 1, our  $[\mathbf{T}_b] \mathbf{w}$  must have length 1. Thus  $[\mathbf{T}_b] \mathbf{w}$  is  $[\mathbf{T}_b] \mathbf{q}$  hit by  $\mathbf{R}_{\pi/2}$ . I.e,

$$\begin{aligned} [\mathbf{T}_b] \mathbf{q} &= [\mathbf{R}_\theta] \hat{\mathbf{i}}, \quad \text{and} \\ [\mathbf{T}_b] \mathbf{w} &= [\mathbf{R}_\theta] \hat{\mathbf{j}}. \end{aligned}$$

Consequently

$$\begin{aligned} [\mathbf{R}_\theta] \hat{\mathbf{i}} &= [\mathbf{T}_b] \mathbf{q} = [\mathbf{T}_b \mathbf{H}_\alpha \mathbf{V}_y] \hat{\mathbf{i}}, \quad \text{and} \\ [\mathbf{R}_\theta] \hat{\mathbf{j}} &= [\mathbf{T}_b] \mathbf{w} = [\mathbf{T}_b \mathbf{H}_\alpha \mathbf{V}_y] \hat{\mathbf{j}}. \end{aligned}$$

Thus  $\mathbf{R}_\theta$  equals  $\mathbf{T}_b \mathbf{H}_\alpha \mathbf{V}_y$ , a product of 5 perp-shears. ♦

**Proof of (b).** To show that a  $\mathbf{T} \in \mathbf{SL}_2$  is a perp-shear product, let  $\mathbf{u} := \mathbf{T} \hat{\mathbf{i}}$  and  $\mathbf{v} := \mathbf{T} \hat{\mathbf{j}}$ . We'll carry pair  $(\mathbf{u}, \mathbf{v})$  to  $(\hat{\mathbf{i}}, \hat{\mathbf{j}})$  via perp-shears.

Take a rotation  $\mathbf{R}$  st.  $\begin{bmatrix} x \\ y \end{bmatrix} := \mathbf{R} \mathbf{u}$  has  $0 < y < 1$ . So there is an *hshear*  $\mathbf{H}$  st.  $\mathbf{H} \mathbf{R} \mathbf{u}$  has length 1. Now take the rotation  $\mathbf{R}'$  st.  $\mathbf{R}' \mathbf{H} \mathbf{R} \mathbf{u}$  is  $\hat{\mathbf{i}}$ .

All this has carried  $\mathbf{v}$  to  $\mathbf{v}' := [\mathbf{R}' \mathbf{H} \mathbf{R}] \mathbf{v}$ . Since  $(\hat{\mathbf{i}}, \mathbf{v}')$  defines a parallelogram with signed-area 1, there is a (unique) *hshear*  $\mathbf{H}'$  st.  $\mathbf{H}' \mathbf{v}' = \hat{\mathbf{j}}$ . The upshot:  $\mathbf{H}' \mathbf{R}' \mathbf{H} \mathbf{R}$  carries pair  $(\mathbf{u}, \mathbf{v})$  to  $(\hat{\mathbf{i}}, \hat{\mathbf{j}})$ . So  $\mathbf{T} := [\mathbf{H}' \mathbf{R}' \mathbf{H} \mathbf{R}]^{-1}$ . ♦

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